

Maintaining good standing

In order to remain in good standing with ITAC, listed turbines must comply with all current turbine requirements applicable to their size. On an annual basis, turbine manufacturers may also be asked to provide ITAC with information about any modifications to their products, warranties, dealer or customer service strategies, or marketing materials.

Manufacturers are advised to report the following information to ITAC:

1. Product malfunctions, recalls, failures, or other significant problems
2. Modifications or changes, including equipment and design changes
3. All complaints related to compliance with the AWEA 9.1-09 Standard (i.e. power performance or noise)

http://www.awea.org/learnabout/smallwind/upload/AWEA_Small_Turbine_Standard_Adopted_Dec09.pdf

Turbines on ITAC's Unified List with SWCC's Conditional Temporary Certification have eighteen months from the date that SWCC issued their Conditional Temporary Certification to achieve full certification. If this is not achieved, ITAC shall remove that turbine from the Unified list and the application must reapply to be reinstated (see Delisting procedures below).

Notification of non-compliance

If a turbine is found to no longer be in compliance with current requirements, or if a manufacturer fails to respond to a request for information, ITAC will notify the manufacturer in writing of the finding. Upon receipt of this notice, the manufacturer may choose to do one of the following:

- a) Accept the finding and, within 30 days, notify ITAC that they *do not* plan to take action to meet the current requirements. Upon receipt of the manufacturer's notice, ITAC will remove the turbine from the list and notify wind incentive programs.
- b) Accept the finding and, within 30 days, notify ITAC that they plan to take action to bring the turbine into compliance with the current requirements. The manufacturer must provide ITAC with a corrective action plan describing how the turbine will be brought into compliance within **60** days from the time of the original notice.
 - a. ITAC will review the corrective action plan and determine if the plan is sufficient to achieve the necessary results in the timeframe described.
 - i. If it is determined that the necessary corrective action cannot be made within **60** days, an extended timeline for taking corrective action will be set, and the turbine will be placed on advisory status during the interim (see Advisory Status section for more details).
 - b. If the manufacturer fails to bring the Turbine into compliance within 60 days or the agreed upon extended timeframe, ITAC will remove the Turbine from the list.

- c) Dispute the findings and, within 30 days, submit the dispute to ITAC, accompanied by a clear reason for the dispute and documentation supporting the claim.
 - a. ITAC will review the dispute within 30 days of receipt of the documentation and respond with a decision that may include any of the following actions:
 - i. Reject the dispute and uphold the original finding
 - ii. Withdraw the finding and determine that the turbine meets the current requirements
- d) If the manufacturer takes no action within 30 days of receiving the notice, ITAC will consider the manufacturer to have accepted the finding and will remove the turbine from the list.

Advisory Status

Under certain circumstances, manufacturers may be unable to take corrective action to bring a listed Turbine into compliance within the timeframe set by ITAC. In this case, the Turbine will be placed under advisory status.

It will be noted on the ITAC list if a Turbine is under advisory status, and participating programs will be notified. It will be at the discretion of the participating programs whether they choose to offer incentives to Turbines with advisory status.

Turbines may remain on advisory status for no more than six months, after which ITAC will remove the Turbine from the list.

Delisting

Certain actions may result in immediate delisting. In the event of delisting, manufacturer will be notified in writing. Actions resulting in delisting may include, but are not limited to:

- Active manufacturing of the Turbine by the manufacturer listed with ITAC ceases
- The six month maximum advisory status period is exceeded
- The manufacturer takes no action within 30 days of being notified that they are no longer in compliance with ITAC requirements
- Reports, test results, certifications or other information about the Turbine submitted to ITAC by the manufacturer is determined to be false or forged
- Determination of fraud on the part of the manufacturer by ITAC or through legal action
- Any other reason determined by ITAC

Effective upon notice of delisting, ITAC will remove the Turbine from the list on the ITAC website and notify all participating programs. Participating programs retain the right to determine whether to provide funding for any active projects involving the Turbine.

ITAC may, in its sole discretion, allow the manufacturer of a delisted Turbine to re-apply for inclusion on the ITAC list at a later date. However, ITAC will most likely require additional documentation and proof from that manufacturer has taken appropriate measures to ensure the Turbine will remain in compliance with requirements.